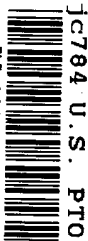


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February 15, 2002

ATTN: BOX PATENT APPLICATION

Assistant Commissioner for Patents
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I HEREBY CERTIFY THAT THIS PAPER IS BEING
DEPOSITED WITH THE United States Postal Service
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RE: United States Patent Application for PROCESS AND SYSTEM FOR
DETERMINING CORRELATION OF PUBLIC AND PRIVATE MARKETS
AND RISK OF PRIVATE MARKETS by Austin M. Long III and Craig J.
Nickels (Our Ref: ALI515/4-3/10000)

Dear Sirs:

Transmitted herewith for filing is a 16-page patent specification, including 15 claims and an abstract. Also enclosed is one drawing on one sheet. The specification and drawings constitute the application of Austin M. Long, III and Craig J. Nickels.

Please note that this application is filed without an inventors' Declaration and Assignment, and a Power of Attorney. Pursuant to 37 C.F.R. § 1.53(b) and (d), the Applicants request the Patent and Trademark Office to accept this application and accord a serial number and filing date as of the date this application is deposited with the United States Postal Service for Express Mail. Further, the Applicant requests that the NOTICE OF MISSING PARTS-FILING DATE GRANTED pursuant to 37 C.F.R. §1.53(d) be sent to the undersigned Applicant's representative.

Please date stamp and return the enclosed postcard to evidence receipt of this application.

Respectfully submitted,

Timothy S. Corder
Reg. No. 38,414
Agent for Applicants

9282:0684
Enclosure
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